

Part 401 – Agreements

Subpart G – Monitoring of Agreements

401.60 Purpose

This subpart sets forth NRCS policy on post-award monitoring of grants and agreements to provide oversight and compliance.

401.61 Authority

A. 7 CFR Section 3016.20 Subpart C, “ Post Award Requirements”

B. 2 CFR Part 215, Subpart C, “Post Award Requirements”

C. Office of Management and Budget (OMB) Circular A-102 Attachment Part 2, “Post-award Policies”

401.62 Policy

Monitoring is the process by which the programmatic and business management performance of a grant is continuously assessed by the program official and the grants official responsible for the award. NRCS must monitor grants to provide reasonable assurance that: adequate progress is being made toward achieving the grant project’s goals, objectives, and targets; Federal grant funds are expended in ways that meet provisions of pertinent statutes, regulations, agency administrative requirements, and relevant OMB circulars and Federal funds are used responsibly.

401.63 Procedures

Post-award monitoring of recipient performance includes—

- (1) Tracking receipt of required financial and progress reports.
- (2) Reviewing these reports to identify performance or financial deficiencies.
- (3) Maintaining records of all communications with the recipient, including correspondence and telephone or onsite contact.
- (4) Conducting site visits when necessary to closely investigate problems or deficiencies identified through analysis of reports.
- (5) Responding to requests for amendments to the grant.